

## Driver Access to the Platform

September 2023



## Platform Access Reasons for Deactivation Communication with Drivers Deactivation Appeal and Support Legislative Solutions and Private Agreements

## Platform Access

## Why do we take action on accounts?

#### Platform safety & compliance

Uber's **Community Guidelines** and **Terms and Conditions** are grounded in relevant local regulations and best practices. They are intended to keep our platform safe and compliant.

Failure to follow the policies can lead to users losing access to their accounts. This applies to **ALL users** – including drivers, couriers, consumers and business partners.



## **Our Principles**

How Uber approaches account deactivation

## Transparent communication

- Communicate expected behaviors and consequences to users.
- Notify users when their accounts become at risk, whenever possible.
- Provide reason for deactivation, whenever possible.
- Provide information about account actioning process, including how to dispute an account deactivation.

## Fair and standardized actioning

- Ensure policies and actioning are reasonable, fair, and/or grounded in relevant laws and regulations.
- Individuals in similar situations should receive the same treatments.

## Respect and empathy

• Resolve issues within a reasonable timeframe and communicate expected processing time with users, whenever possible.

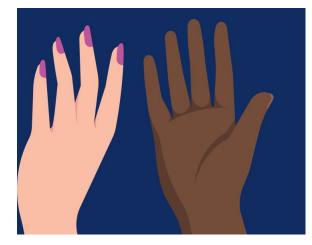
## Striving for a fair deactivation decision



- All consumer reports are manually reviewed by support agents.
- Additional information may be requested.
- Accounts may be placed on temporary hold.



- Algorithms help spot suspicious activities at scale.
- Human reviewers provide an extra layer of protection.
- Vast majority of account access decisions involve human reviews.



#### **Fairness Reviews**

- Regular reviews of our policies and practices.
- Feedback from drivers and couriers via support tickets, surveys, focus groups, roundtables are valued.

#### Actions That Don't Result in Deactivations of Drivers' Accounts

- Acceptance Rates
- Cancellation Rates

# Reasons for Deactivations

## Temporary

#### **Compliance and Documents**

For various compliance reasons, drivers may be temporarily waitlisted. Examples include:

• Driver and vehicle document expirations

These are temporary waitlistings and may be resolved by addressing the underlying issues.

#### Investigations

Drivers in an ongoing investigation may temporarily lose app access during the course of the investigation. The results of that investigation may lead to the driver regaining platform access or becoming permanently deactivated.

## Help ~

#### A Vehicle Registration

#### This document is expired

Please renew your expired Vehicle Registration and then upload a photo of it.



## Quality

#### How Ratings Work

- Uber maintains a 2-way rating system where drivers and riders provide each other ratings based on their trip experience. Driver ratings are an average of the last 500 ratings from riders.
  - Rider rating has a 7 day delay in its calculation for privacy and security reasons
- If a rider gives a driver a low rating because of the price, traffic, or other issues outside of the driver's control, then the rating is removed from a driver's average.

#### Ratings, Warnings and Deactivations

- All drivers are expected to maintain a minimum rating for their city. For MSP, drivers must maintain at least a 4.5
  the lowest threshold in the country.
- As drivers near that threshold, they receive email and SMS notifications that their account is at risk.
- When a driver breaches the minimum rating threshold, their account is temporarily suspended pending the completion of a quality improvement course. If the course is completed, drivers are allowed to return to the platform.
- Drivers are then given several trips to improve their rating and continue driving on the platform. If their rating continues to fall below the minimum threshold, then their rideshare account is permanently deactivated.

### **Quality Ratings Protection**

Last month, we launched a new effort to protect Drivers from unfair ratings.

As part of this effort, we now exclude the below from drivers' ratings calculations:

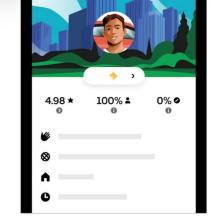
- Any 1- and 2-stars rating by abusive riders
- Any ratings w/ complaints related to things outside of the drivers' control, including but not limited to:
  - $\circ$  Price

• Traffic

- Bad map (navigation and/or pick up and drop off locations)
- Mechanical issues w/ the vehicle

And we notify a driver each time there is a protected rating (with a 4 day delay)

Uber | Driver access to the platform



#### Uber has your back with new ratings protection

We understand that there are things out of your control that may affect your rating, including overly negative or biased riders. **In order to protect your rating, we will now exclude ratings from riders who've consistently and unfairly given low ratings** (1-star or 2-star).

Additionally, we will continue to exclude ratings with feedback that indicate reasons beyond your control. We have updated the list of reasons to include incorrect navigation, mechanical issues, wrong pin location, and more.

Unfair ratings should not keep you from

## Fraud

For various <u>fraudulent documentation</u>, information, or <u>behavior</u> reasonings, drivers may be suspended and then informed of their violation. Examples below highlight a few types of fraud:

- **Document** Driver provides fraudulent documentation (altered/counterfeit documents) to Uber.
- Information Driver provides false information with intent to deceive or mislead Uber/riders.
- Behavior Driver displays fraudulent signals such as sharing their account with others, attempting to defraud Real-Time ID checks, OR having multiple devices online simultaneously under the same account.

For all Identity Fraud deactivations, communications are sent regarding the cause for deactivation with information to appeal if they choose to do so.

## Accessibility

All drivers earning on the Uber platform receive regular information about their obligation to transport riders traveling with service animals. Denial of service based on a service animal or any disability is a violation of local and federal law and Uber's policy, and drivers found during an investigation to have denied a ride on this grounds will have their access to earning on the Uber app permanently removed.

## Safety

## The safety of all users of our app is at the heart of everything we do.

Though not the case for every safety-related incident, some serious safety incidents can result in a rider or driver's loss of access to the Uber app after just one incident.

The vast majority of safety incidents however, involve less severe or infrequent behaviors that may not warrant immediately being removed or permanently banned from the app. If a pattern of behavior is found, this can prompt further review and ultimately result in loss of access. When evaluating patterns of behavior, other factors such as trip count may be considered.

More information on Uber's approach to Safety deactivations can be found in the most recent <u>Safety</u> <u>Report</u> (starting on pg. 30).

#### No rider or driver is banned from the app for a safety report without a human review.

Examples:

- Background Check Failure
- Sexual Misconduct & Assault
- Interpersonal Conflict

## Advocate & Expert Collaboration

Uber worked with the following experts to develop a <u>taxonomy</u> for reports of sexual misconduct and sexual assault received from users of Uber's ride-sharing and other app-driven services. This taxonomy, and their guidance, deeply inform our interpersonal violence standards and principles.



national sexual violence resource center



<u>White Paper</u>

## **Uber's Sexual Assault Standard Principles**

Based on our work with experts, and organizations that advocate against gender-based violence, Uber aligned on key principles for sexual assault that are survior-centered and trauma-informed: .

#### We trust survivors

• When it comes to sexual assault, Uber applies the same standard for everyone (drivers and riders, new and long-time users) without regard to race, gender identity, socioeconomic status, sexual orientation, education level, or app rating or status.

#### We do not require conclusivity

• Uber seeks to obtain the most complete and accurate understanding of a reported event. However, we realize it is not realistic to know exactly what happened between users at any given time. In Uber's review process for sexual assault reports, survivors are not required to "prove" their own assault.

#### We do not require corroboration

 We know that it may not always be possible to obtain corroborating information in connection with a report of sexual assault.
Pg 31, Safety Report



# Communication with Drivers

## Notification to drivers before action is taken

#### Uber

Improve your star rating to continue using the Driver app



#### Hi Matt,

Your rating dropped and is lower than average for drivers in your area.

In accordance with our Community Guidelines, if your rating continues to be low, your account could soon be at risk of deactivation.

#### Tips from other drivers on how to improve your rating

Check out these 5-star pro tips:



Riders say they appreciate a clean, odor-free car. Many drivers suggest cleaning your car regularly.



R

#### Start and end the trip on time

To avoid confusion and ensure accurate fares, start the trip after the rider is in the car, and end it once you've reached the destination and have come to a complete stop.



Safety concerns reported by riders sometimes include drivers speeding, breaking traffic laws, being distracted by their phones, and driving aggressively; swerving, sharp turns, or harsh braking. Remember that riders want you to obey traffic laws and watch your surroundings as you drive.



## Notification sent to drivers at the time action is taken

Uber   Dri	iver access	to the	platform
------------	-------------	--------	----------

uper	
	low ratings, you've lost to the Driver app
	ng has been consistently low, so in accordance mrunity Guidelines, your account has been
How to g	get back on the road
	like to reactivate your account, you can complete a third-party vement course and contact support to submit proof of ampletion.
TAKE A CO	DURSE →
?	Have questions? Reach out to us by going to the Help tab of your Driver app or visiting help uber.com. Please note that the minimum rating requirement in California is 4.50 (subject to change on an annual basis). Visit this page for more information on ratings.
Uber	f 💅 🖸 🗂
Get help Unsubscribe	This is a promotional email from Uber Technologies 1511 544 58. San Francisco. CA 94158 <b>Privacy</b> Terms

1 lb or

# Deactivation Appeal and Support

## Deactivation Review Center

12:30	* 🕀 🖌 🛔 100%	12:30 * 🕩 🖌 🖿	100
	Q ington uare	×	
deary 35 LIMIT	2	Your account is deactivated	
Fulton St aimt_adies	923 Fol- Apartr	Deactivation reason Unsafe driving	
🗲 Account statu	s ∷≣	We've received multiple reports from ride expressing concern with your driving.	ers
Your account is dead	tivated	We previously notified you that repeated reports of this nature could lead to loss of	
		Request review	
Notificatio	on	Deactivation reaso	n

#### Your account is deactivated

Deactivation reason Unsafe driving

We've received multiple reports from riders expressing concern with your driving.

We previously notified you that repeated reports of this nature could lead to loss of account access. As a result, your account has been deactivated.

While we understand this news may be upsetting, compliance with our Community Guidelines is required to drive with Uber.

We attempted to contact you on, but not limited to, the following dates:

Jan 2, 2020

Mar 3, 2020

**Contact history** 

9:41

#### **Request review**

Your account has been deactivated because we determined that you violated your agreement with Uber (whicn includes adhering to the Community Guidelines).

.... 🕆 🔳

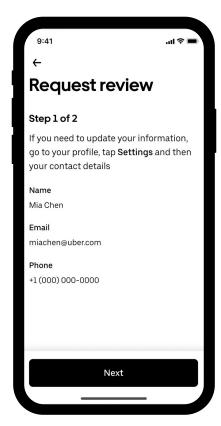
If you think this deactivation was an error or can provide additional information (video, photo, or other documentation not previously submitted) that's relevant to your deactivation, you can request an appeal.

A member of our team will review your account and any information you provide with your appeal request.

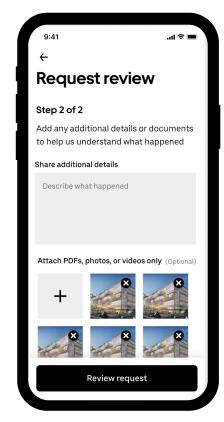
The appeals process usually takes 7 days, but sometimes we need additional time. We'll send you an email letting you know the

Start

Overview of Reviews Process



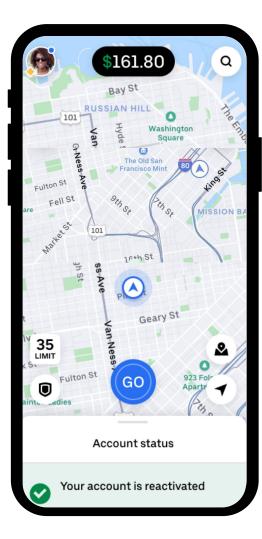
Contact Information Confirmation



Supporting Information Submission

#### **Review Outcomes**

Once the agent review is complete, drivers and couriers are notified of the result. Reviews are either Declined or Accepted.



Your request has been reviewed, and your account will remain deactivated

Deactivation reason Account Sharing

X

After carefully reviewing your account and the information you submitted as part of your deactivation review request, we've determined that your account will remain deactivated because you violated our Community Guidelines and your agreement with Uber. This decision is final.

## **Somali Community Resettlement Services**

Earlier this year, Uber began working with Somali Community Resettlement Services (SCRS), a local non-profit, to offer language-specific, culturally sensitive, on-the-ground support for drivers who wish to appeal a deactivation decision.

SCRS has a direct line to the Deactivation Review team at Uber and the ability to provide additional context and supporting documentation when requesting Uber re-review a driver's case.

To date, SCRS has submitted 51 cases for review and around 12% have been re-activated.

### A deactivation is overturned when...

## New and relevant information is presented

If the driver/courier was able to supply the request with "new and relevant" information not previously provided, such as photo, dashcam/ security footage, audio recording, and police reports that prove innocence.

#### There is an error

If there were errors in the initial deactivation process, or onboarding errors when the driver/courier was first onboarded (i.e. listing a wrong vehicle, photo, name, etc), that later resulted in account deactivations.

## There is evidence of support abuse

Uber has put in place systems to identify riders who report incidents and give feedback with the aim of getting a refund or appeasement and ensure that allegations made by these customers aren't considered in account deactivation decisions.

# Legislative Solutions and Private

## Agreements

## Legislative Solutions and Private Agreements

#### NYC IDG Agreement (2016)

NYC TLC-licensed drivers can request an <u>appeal</u> and to be represented by the Independent Drivers Guild, an affiliate of the Machinists Union. The American Arbitration Association (AAA) facilitates all deactivation hearings.

#### **California <u>Prop 22</u>** (2020)

Prohibits TNCs to deactivate unless based upon a ground specified in the contract.

Requires TNCs to provide an appeals process for deactivated drivers.

Key:

Union agreements

Legislation

#### Canada UFCW Agreement (2022)

UFCW Canada can provide representation if requested by Uber drivers and couriers facing an account deactivation or other account dispute issues.

#### Washington <u>HB 2076</u> (2022)

#### **Requires TNCs to**

- Enter into an agreement with the Driver Resource Center establishing a deactivation appeals process.
- Notify drivers of their right to appeal the account deactivation and receive representation by the driver resource center.
- Provide the driver with a written statement describing the reason for deactivation and the internal policy violated

## Uber